

**2011 Kentucky Annual Certificate of Nonparticipating  
Tobacco Product Manufacturer Compliance**

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**Part 1: Manufacturer's Identification**

1. Name: \_\_\_\_\_
  2. Street address: \_\_\_\_\_
  3. City, state, country, ZIP: \_\_\_\_\_
  4. Telephone number: \_\_\_\_\_
  5. Electronic mail address: \_\_\_\_\_
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**Part 2: Liability Year (Sales year)**

6. The liability year for this certificate is: **2011**
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**Part 3: Units Sold**

7. Number of individual cigarettes and RYO sold by the manufacturer identified above during the liability quarter subject to Kentucky excise tax as follows (by brand; nine hundredths (.09) of an ounce of RYO tobacco counts as 1 stick):

- |          |          |          |
|----------|----------|----------|
| 1) _____ | 2) _____ | 3) _____ |
| 4) _____ | 5) _____ | 6) _____ |

Total sticks: 7) \_\_\_\_\_

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**Part 4: Deposit Amount**

For the liability year 2007 and after, the base rate per cigarette is ..... **0.0188482**

8. The appropriate rate for the liability year as adjusted for inflation\* is: **8. \$0.0282581**

9. Multiply Line 8 by total of Part 3, Line 7, and write the amount here

**(Total Escrow Deposit due for the quarter):**

9. \_\_\_\_\_

*Note: Attach a copy of your receipt or other proof of deposit from your financial institution as well as a copy of the escrow agreement between you and the institution if you have not previously provided one or if it has been amended.*

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**Part 5: Financial institution**

10. Name: \_\_\_\_\_
  11. Street address: \_\_\_\_\_
  12. City, state, country, ZIP: \_\_\_\_\_
  13. Escrow account number \_\_\_\_\_
  14. Total amount held in this account after current deposit: \$ \_\_\_\_\_
  15. Escrow agent: \_\_\_\_\_
  16. Phone Number: \_\_\_\_\_
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**Part 6: Authorized Signature**

Under penalties of perjury, I state that, to the best of my knowledge, all of the information contained in this certificate is true and accurate. This document must be signed and dated by an authorized notary public.

Sworn to and subscribed before me  
this \_\_\_\_ day of \_\_\_\_\_, 20\_\_

\_\_\_\_\_  
Print the name of authorized agent      Title

\_\_\_\_\_  
Signature of Notary Public

\_\_\_\_\_  
Signature of authorized agent      Date

City / State: \_\_\_\_\_  
My commission expires \_\_\_\_/\_\_\_\_/\_\_\_\_

\* The cumulative inflation adjustment is calculated pursuant to Exhibit C of the MSA.

**This form is due April 30, 2012 and may be sent to: Kentucky Office of Attorney General,  
700 Capitol Avenue, Suite 118, Frankfort, KY 40601, (Attention: Michael Plumley,  
Assistant Attorney General).**